Revised January 10, 2022



Commissioners

Jerry Notarianni

Debi Domenick, Esq.

Chris Chermak



Lackawanna County Business Improvement Grant

Program Manual & Application Form

Prepared by

Lackawanna County Department of Economic Development

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Introduction

Lackawanna County Commissioners established the Lackawanna County Business Improvement Grant Program to promote economic development and spur local economic growth by encouraging the private sector to pursue exterior façade improvements or purchase/install new equipment. This is a one-time grant and is reimbursable up to \$5,000 and awarded on a 50/50 match basis.

On a yearly basis, Lackawanna County will allocate a determined amount for funds from the Department of Planning and Economic Development's operating budget for a Business Improvement Grant Program to qualified businesses working within Lackawanna County.

Qualified Businesses

A qualified business eligible for the grant program is a business that:

- > Seeks to make exterior façade renovations or improvements on an existing facility that is located in Lackawanna County; **OR**
- > Seeks to purchase and/or install new business equipment within six (6) months of the issuance of the grant for a business that is located in Lackawanna County.

AND

Matches the Business Improvement Grant Program monies on a 50/50 basis with business funds or in-kind services to undertake or complete the improvement within six (6) months on the issuance of the grant. (i.e. A \$5,000 BIG grant must be matched at the time the grant is awarded with \$5,000 from the business in funds or in-kind services. Maximum Business Improvement Grant amount is capped at \$5,000).

Procedure for Program Consideration

For a qualified business to take advantage of the Business Improvement Grant Program,

submit the APPLICATION FORM LC-BIG-5000 to:

Submit to: Director, Economic Development

Lackawanna County Department of Economic Development

123 Wyoming Avenue, 5th Floor

Scranton PA 18503 Phone: 570-963-6830

Fax: 570-963-7596

plned@lackawannacounty.org

Application Packet

Two (2) copies of the Business Improvement Grant Program application packet, containing

original signatures, must be submitted. The packet must include the following

documentation:

Completed and signed APPLICATION FORM LC-BIG-5000.

> Corporate Resolution authorizing the applicant to apply for the Business

Improvement Grant Program; and understanding and agreeing to the "Terms and

Conditions of the Program."

> Copies of approved improvement plans or project plans, and copies of approved local

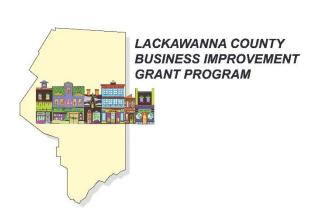
building and zoning permits, if applicable, or receipts/invoices for equipment

purchases/installation, and an executive narrative detailing the Business and its short

term and long term projections of the job or jobs created or retained as a result of the

equipment purchase/installation.

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APPLICATION FORM LC-BIG-5000

Revised 6/26/2020

SECTION	1. QUALIFIED BUSINES	SS INFORMATION	
Business Name:	Federal Employer	ID Number:	
Mailing Address of Headquarters (Street/PO Box):	(City/Town/Post Of	ffice)	(ZIP Code)
Telephone: FA	X:	E-mail:	
Owner (if corporation or partnership, please list al	l individual owners, partners and/or o	officers):	
Product or Service Provided:			
SECTIO	N 2. PROGRAM QUALIF	YING CRITERIA	
Does this business have a place of operation in Lac		(city, bo	orough, township) No
Is the business located within a local business district		(street)	No
Mailing Address (Street/PO Box):	(City/Town/Post C	Office)	(ZIP Code)
Property Tax ID (PIN)#:	s the location of the business owned by t	he business or leased? Owned	Leased
How many full-time employees are presently working	g at the business?	Average wage per year?	
How many part-time employees are presently working	ng at the business?	Average wage per year?	
Will 100% of the grant be utilized at the facility in La		es No	
Has a 50/50 match been provided by the business?		Type of ma	atch:
Are the business and all owners and/or corporate office	ers current on all federal, state, and local ta	exes? Yes	No

SECTION 3. GRANT INFORMATION				
In this space, provide a brief summary of the improvements or purch	chases for which the grant funds will be used.			
Amou	ount of total new investment			
	for this project: \$			
Amount of business funds dedicated to this project	ject (cash, in-kind, or loan): \$			
	Grant amount requested: \$			
SECTION 4. CER	RTIFICATION & ACCEPTANCE			
By signing this application, I certify that to the best of my knowledge that the above information provided on this form and related attachments are true and correct, and the Qualified Business agrees to the terms and conditions of the grant and will be bound by the Grant Agreement if Lackawanna County awards the grant.				
Qualified Busine	955:			
Signature				
Type or Print Nam	ne for Signature Above			
Title	-			
Date				

CORPORATE RESOLUTION

WHEREAS,	[Qualified Business] has applied for,
and has been approved for, a Business Improv	ement Grant Program from Lackawanna County
for the purpose of	[identification of utilization
of funds];and	
WHEREAS,	_[Qualified Business] will deploy 100% of the
grant funds on or in an existing facility within La	ckawanna County; and
WHEREAS,	[Qualified Business] will match the Business
Improvement Grant Program grant monies on a	50/50 basis within six (6) months of the issuance
of the grant and acknowledges that \$	from the business in the form of
(cash, in-kind ser	vices, or loan funds) has been committed and is
available for the project awarded the Business I	mprovement Grant.
WHEREAS, the Qualified Business desires to ap	ply to the County of Lackawanna [<i>County</i>] for a
Business Improvement Grant Program; and	
WHEREAS, the Program Manual includes a doc	ument titled "Terms and Conditions of Business
Improvement Grant Program" and an Appli	cation Form with a section titled "Section 4
Certification and Acceptance"; and	
WHEREAS, the Qualified Business understand	s that the contents of the document entitled
"Terms and Conditions of Business Improveme	ent Grant Program," will become the terms and
conditions of an Agreement between the Qua	lified Business and the County if the Qualified
Business is awarded a grant; and	
WHEREAS, the Qualified Business understand	s that, by signing "Section 4 Certification and
Acceptance" of the Application Form and sub	omitting it to the County as part of the grant

3. If the Qualified Business is awarded the grant, "Section 4 Certification and Acceptance" of the Application Form, signed by the above individual, will become the Qualified Business's executed signature for the Grant Agreement, and the Qualified Business will be bound by the Grant Agreement.

I	hereby	certify	that	this	Corporate	Resolution	was	approv	ed	by
					[Qu	alified Busines	ss] this		day	of
			_, 20	·						
					Sig	nature				
					Tit	le				

TERMS AND CONDITIONS OF THE BUSINESS IMPROVEMENT GRANT PROGRAM

We suggest that your legal counsel review the terms and conditions in this section before you submit an application for the incentive program. They are mandatory for all Qualified Businesses awarded a grant through this program and are not negotiable.

If the Qualified Business is selected for the grant program, the TERMS AND CONDITIONS OF THE BUSINESS IMPROVEMENT GRANT PROGRAM in this section will be incorporated into the Agreement, and SECTION 4. CERTIFICATION & ACCEPTANCE included in this application package (Page 6) will become the signature page for the Agreement.

TERMS AND CONDITIONS OF INCENTIVE PROGRAM

"COUNTY" in the terms and conditions below means the Board of Commissioners of Lackawanna County. "GRANTEE" means a Qualified Business as determined by the Department of Economic Development that has been awarded a business improvement grant.

ARTICLE I

INCENTIVE AMOUNT; GRANT ACTIVITIES

Subject to the availability of funds, the COUNTY makes available to the GRANTEE a check in the

amount of \$
The GRANTEE shall deploy 100% of the grant on or in a business facility within Lackawann
County within six (6) months and the GRANTEE shall provide a match of \$ (equa
to or greater than the grant award amount in cash, in-kind services or loan funds) at the tim
grant is awarded for exterior façade improvements and/or improvements to the existing facilit
to accommodate the purchase/installation of new equipment at the place of business within si
(6) months of issuance of the grant.

ARTICLE II

EXECUTION OF AGREEMENT; GRANT AGREEMENT PERIOD

This AGREEMENT is not binding on the COUNTY until it has been properly executed by all required signatories for the COUNTY. Any cost incurred by the GRANTEE prior to such execution is incurred at the GRANTEE'S risk.

The AGREEMENT period shall be six (6) months from the date of execution of the grant agreement.

ARTICLE III

COMPLIANCE WITH APPLICABLE FEDERAL, STATE, AND LOCAL LAWS

The GRANTEE shall comply with all applicable federal and state statutes and regulations and local ordinances.

ARTICLE IV

PAYMENTS

The COUNTY will issue a payment to the GRANTEE upon approval of the Application. The GRANTEE'S application shall be supported by copies of the approved improvement plans, approved building and zoning permits, if applicable, or receipts/invoices for equipment purchases, and an executive narrative detailing the business and its short and long term projections of job created as a result of the improvement or upgrade.

The COUNTY may deny or adjust payment for any grant request that is not in accordance with the terms of this AGREEMENT.

ARTICLE V

PROGRESS REPORTS

The GRANTEE shall furnish concurrently to the COUNTY such progress reports as requested by the COUNTY within ten (10) days.

ARTICLE VI

AUDITS

The COUNTY may perform, or require the GRANTEE to perform, a financial and/or performance audit in accordance with procedures and standards specified by the COUNTY.

ARTICLE VII

REFUND

The GRANTEE shall refund to the COUNTY any overpayment of the grant as determined by the COUNTY.

The GRANTEE shall refund to the COUNTY the grant should the GRANTEE fail to adhere to the terms and conditions of this section within the time frame as prescribed in this Agreement.

ARTICLE VIII

GOOD STANDING

In order to be eligible for the COUNTY Business Improvement Grant Program, the QUALIFIED BUSINESS must be a company in good standing with the Commonwealth of Pennsylvania and be current on its federal, state, local and county taxes.